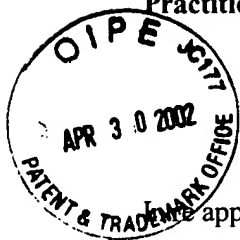


Practitioner's Docket No. ARC2940R1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Geerke, Johan H.

Application No.: 09/735,989

Group No.: 1616

Filed: December 13, 2000

Examiner: Dewitty, Robert

For: Dosage Forms Having a Barrier Layer to Laser Ablation

Assistant Commissioner for Patents

Washington, D.C. 20231

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STATEMENT FOR INFORMATION DISCLOSURE
UNDER 37 C.F.R. Section 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT
FOR WHICH THIS STATEMENT IS BEING MADE

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Katrina M. Ghafghaichi
Signature

Katrina M. Ghafghaichi
(type or print name of person certifying)

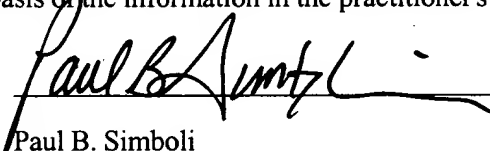
STATEMENT

2. I, the person signing below, state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).

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3. The person making this certification is:

- (a) the practitioner who signs below on the basis of the information in the practitioner's file.

 15 MAR 2002

Paul B. Simboli
ALZA Corporation
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M10-3
Mountain View, CA 94039-7210
United States of America

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/735,989
Filing Date	12/13/2000
First Named Inventor	Johan H. Geerke
Group Art Unit	1616
Examiner Name	Dewitty, Rober
Attorney Docket Number	ARC2940R1

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Filed: December 13, 2000 Examiner: Dewitty, Robert
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Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

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Date: April 15, 2002

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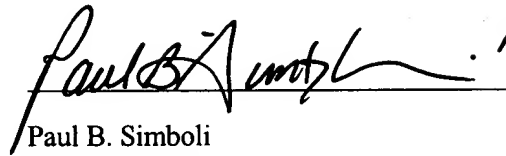
Katrina M. Ghafghaichi
(type or print name of person certifying)

- (1) a final action under section 1.113 or
- (2) a notice of allowance under section 1.311

whichever occurs first.

STATEMENT

2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).

 15 APR 2002

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